

11-23-01

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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## Patent Application Transmittal

Box Patent Application  
 Assistant Commissioner for Patents  
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventor: MARK LINUS BAUMAN, JOHN LEE BROOKS, BOB RICHARD  
 CERNOHOUS, CLARK ANTHONY GOODRICH, KENT L. HOFFER, JOHN  
 CHARLES KASPERSKI, STEVEN JOHN SIMONSON and JAY ROBERT  
 WEEKS

For: EFFICIENT METHOD FOR DETERMINING RECORD  
 BASED I/O ON TOP OF STREAMING PROTOCOLS

Enclosed are:

- ☒ 45 sheets of specification and 1 abstract
- ☒ 14 sheets of drawings
- ☒ A Declaration and Power of Attorney
- ☐ An Information Disclosure Statement and form PTO-1449
- ☒ Preliminary Amendment
- ☒ An assignment of the invention to International Business Machines Corporation, Armonk,  
 New York 10504 and Recordation form PTO-1595

The filing fee has been calculated as follows:

Other Than Small Entity

For:	No. Filed	No. Extra	Rate	Fee
Basic Fee				\$ 740.00
Total Claims	29 -20 =	9	x \$18.00=	\$ 162.00
Indep. Claims	4 -3 =	1	x \$84.00=	\$ 84.00
<input type="checkbox"/> Multiple Dependent Claim Presented			\$280.00	\$ 0.00
TOTAL				\$ 986.00

## EXPRESS MAIL CERTIFICATE

Express Mail Label No.: EV044734447US  
 Date: November 21, 2001

I hereby certify that the enclosed or attached paper is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service on the above date, addressed to the U.S. Patent & Trademark Office, Box Patent Application, P.O. Box 2327, Arlington, VA 22202.

  
 Gero G. McClellan

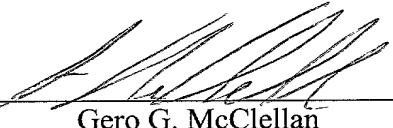
Deposit Account Authorization:

- ☒ Please charge Deposit Account No. 09-0465 in the amount of \$986.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0465. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. §1.16.
- ☒ Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,

Date: November 21, 2001

By

  
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